

Anti-money laundering firm of the year 2014 and 2015



KPMG International was named Global Anti-Money Laundering (AML) Firm of the Year for 2014 by Finance Monthly; an international publication providing news, insight, comment and analysis on funds, corporate tax, mergers and acquisitions (M&A) and private equity. The Awards reflect confidence in the sector and celebrate those firms that have made bold strides in winning and maintaining business. The winning firms have shown excellence in service and unflinching determination to adapt to a fluctuating market.



International – Overall AML Advisory of the Year,
KPMG International (2014 and 2015)

ACQ, a premier global mergers & acquisitions (M&A) magazine has named KPMG's Anti-Money Laundering (AML) practice with the Global ACQ AML Advisory of the Year award in 2014 and 2015. Since 2008, the ACQ Global Awards have been celebrating achievement, innovation and brilliance in their annual awards. Every year ACQ enlists the assistance of their readership in recognising industry leaders, eminent individuals, exemplary teams and distinguished firms, representing the benchmark of achievement and best practice in a variety of fields.



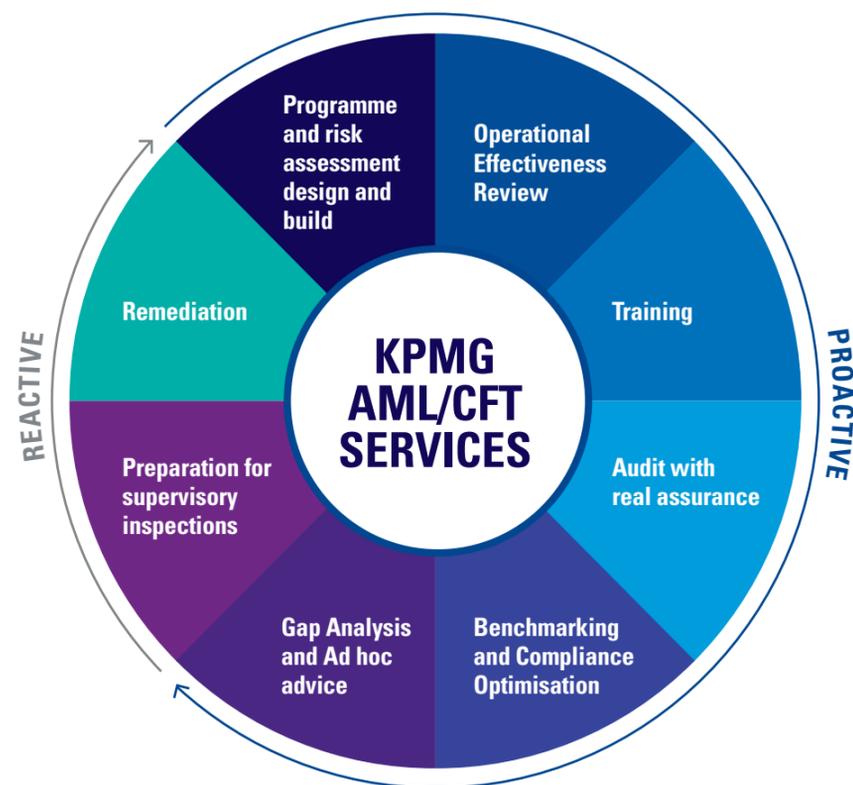
AML/CFT: Assisting you on your journey

Advisory
Anti-Money Laundering
and Countering Financing
of Terrorism (AML/CFT) Act 2009

kpmg.com/nz



Our services



Proactive

- > **Programme and risk assessment design and build**
We can help you to design and build a programme and risk assessment that matches your risk profile and is compliant with the AML/CFT Act.
- > **Operational Effectiveness Review**
We can perform an operational effectiveness review over your Programme to give management confidence that your controls are designed and operating effectively. As part of this process we will work with you to consider areas often overlooked or subject to greater regulatory focus.
- > **Training**
We can design and deliver training programmes tailored to your organisation and using real life examples.
- > **Audit with real assurance**
Our approach is to deliver audits aligned to your business and its risks to provide meaningful assurance around AML/CFT compliance.
- > **Benchmarking & Compliance Optimisation**
We can review your AML/CFT framework to provide a benchmark against industry practices. We can work with you to understand ML/TF risks and ensuring your control activities are aligned and proportionate. The objective of this is to minimise compliance friction and mitigating customer experience impacts.
- > **Gap Analysis & Ad hoc advice**
We can review your Programme or specific areas of it to ensure it is comprehensive and meets the requirements. We can help you design practical solutions and provide advice on all areas of AML/CFT compliance, including transaction monitoring system selection and developing customer due diligence tools.

Reactive

- > **Preparation for supervisory inspections**
Our in-depth knowledge of the requirements, supervisory approach and expectations aims to give you a 'no-surprises' approach to an inspection by ensuring any areas are self-identified and in the process of remediation.
- > **Remediation**
When things have gone wrong, we can help you to plan and carry out remediation of your programme and risk assessment. Our team has hands-on experience delivering AML remediation projects in New Zealand and internationally.

Why KPMG?

- > **Specialist knowledge and experience**
All our services are delivered by AML experts with industry, regulatory, compliance and law enforcement backgrounds. We have international experience delivering AML/CFT projects. We understand risk and the business challenge that compliance brings.
- > **Credibility**
Our experts are certified by ACAMS or members and have kept up-to-date with sector supervisors' approaches and expectations.
- > **Global AML methodology**
New Zealand utilises our global member network, AML methodologies and insights to bring real value through engagements.
- > **Cut through complexity**
We will help you understand the obligations, industry practice and supervisory expectations to deliver practical risk based solutions.

Our team of experts



Gareth Pindred
Director

Gareth has an LLB and is a chartered accountant. Gareth spent seven years in the New Zealand Police before joining KPMG London in 2005 where he helped reporting entities comply with their AML/CFT obligations. He has particular specialism in delivering remediation engagements having led client due diligence projects in New Zealand, United Kingdom and offshore jurisdictions. Gareth joined the New Zealand firm in 2013 where he has leveraged his remediation experience to help clients bring a focused, proportionate approach to compliance.

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Tim Goodrick
Senior Manager

Tim Goodrick joined KPMG in March 2015 from the Financial Action Task Force (FATF) in Paris, France. Tim spent three years at the FATF working with industry and governments to develop international AML/CFT policies and assessments. Prior to the FATF, Tim was the Director of Financial Crime in the Australian Attorney-General's Department. He has represented the FATF and Australia at international meetings of the United Nations, G20, Basel Committee and OECD. Tim also worked for AUSTRAC in compliance. Tim has a Master of Laws and is a Certified Anti-Money Laundering Specialist (CAMS).

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Samantha Copeland
Manager

Samantha is a specialist in regulatory compliance and risk management who has extensive experience in the development and implementation of compliance frameworks. Prior to joining KPMG in, Samantha was the designated AML/CFT Compliance Officer at a leading NZX broking firm and responsible for the design and operation of its AML/CFT Programme. She has first-hand experience of the complexity in applying AML/CFT obligations and through this she is solution focused balancing the regulatory imperative with driving business and customer impacts. Samantha holds a Master of Laws, specialising in international law and a Bachelor of Laws (Hons) with European Studies.

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