



# Astrus Third Party Intelligence

**Know your risks**

**Introducing Astrus Enhanced Due  
Diligence and Astrus Monitoring**

[kpmg.ky](http://kpmg.ky)



# Astrus

## **Press**

Adverse press  
Media comments

## **Key corporate interests and background**

Trusteeships  
'Hidden' stakes  
Conflicts of interest  
Shareholdings  
Directorships  
Leadership

## **Background**

Track record  
Conduct  
Experience  
History

## **High-risk entities**

Sanctions  
Conflicts of interest  
Regulatory notices  
PEPs  
Law enforcement

## **Ownership**

Trusts  
Shareholders  
Ultimate owners  
Foundations

## **Litigation**

Proceedings  
Court records

# The cost of risk

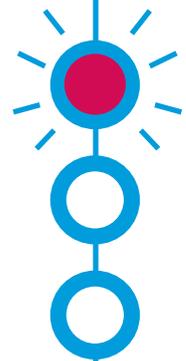
Global transactions and regulatory scrutiny increasingly compel companies to examine their business relationships in order to assess risk and comply with regulatory mandates.

This environment of increased regulatory scrutiny can create the need for Astrus solutions:

- anti-bribery and corruption regulatory compliance
- anti-money laundering compliance
- financial services regulatory compliance
- risk assessments of current and prospective business partners, agents and vendors
- mergers and acquisitions and other investments in emerging and global markets
- industry and jurisdiction-specific risk

## Astrus can turn risk to advantage

Whether Astrus Enhanced Due Diligence or Astrus Monitoring, Astrus can facilitate a shift from tactical to strategic third-party due diligence. The solution provides a tangible step up from automated database solutions and has the potential to enhance your competitive edge to effectively assess risk in today's emerging and complex markets.



# Astrus Enhanced Due Diligence

## Astrus provides:

- objective summarization of facts related to integrity risk issues
- cost-effective information and efficient reporting
- searches in English and local languages
- dedicated due diligence team for your organization

## Tailored, smart solutions

Astrus can be customized for your program requirements, and can include:

- high-level sanctions, politically exposed persons, screening and/or negative media searches and/or litigation reviews
- corporate structure and operations information and an understanding of a third-party's owners and shareholders
- in-depth, tailored-by-country enhanced due diligence, if needed, based upon the preliminary risk rating of the third party.

## Are you at risk? At what cost?

The Astrus Enhanced Due Diligence solution is a strategic, cost-effective, and time-efficient approach to gathering information and identifying risk associated with customers, suppliers, agents, and other counterparties.



## One size does not fit all

Our web-based platform provides easy access to tailored online research that can accelerate your due diligence process without compromising the accuracy of reports that your organization will use for key decisions.

### Accessible, secure reports

Access via a secure on-line platform that facilitates report requests and provides you with the option of convenient ordering, tracking, and electronic report delivery. Completed reports can be reviewed online or downloaded in PDF format.

### Cost-efficient, timely turnaround

Improve your competitive advantage with reduced turnaround times that can allow you to transact more quickly with prospective customers, suppliers and other third parties.

### Accurate, strategic sourcing

Astrus accesses 40,000 data sources from premium content data aggregators. Research covers major languages including Russian, Mandarin, Arabic, Portuguese, Spanish and other emerging market languages. Data can be indexed in more than 88 languages. The collective knowledge of KPMG's global Forensic professionals helps you assess regulatory and jurisdictional business risk.

### Targeted, customized reports

Access easy-to-read reports that provide objective risk assessment. Reports are customizable, streamlined and transparent, providing a succinct summary of key issues.



Secure



Timely



Accurate

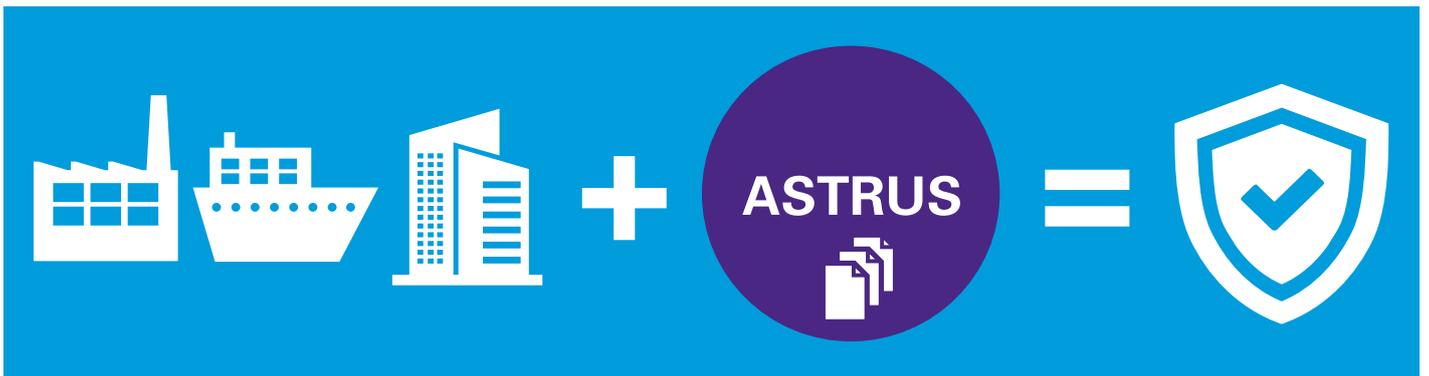
# Astrus Monitoring = reduced risk

## Are you prepared?

If challenged by a regulator, would you be able to demonstrate that you have a consistent, systematic, centralized and auditable monitoring process in place? This may be especially important if you are in a highly regulated industry and are required to regularly refresh your customer or counter-party data.

## Are you in compliance? At what cost?

Regulators require that you periodically review and/or monitor your third parties for changes that may impact your enterprise. Deploy Astrus Monitoring to regularly alert you to changes that could increase your financial or reputational risk. Astrus Monitoring can help meet your need for accurate, up-to-date information. An event-driven system, such as Astrus, can also help reduce on-going compliance costs.



## Decrease risk, add advantage

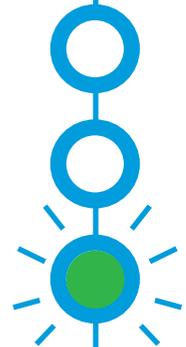
Astrus Monitoring

- **Consolidates data from a wide range of worldwide data sources.** It can also integrate leading data aggregators such as Bloomberg or Bureau van Dijk.
- **Configures easily.** Specify your data sources and data fields, determine frequency of monitoring and customize your profiles to match your compliance requirements.
- **Introduces a high degree of conformity.** Astrus helps you maintain a full audit and evidence trail to support regulatory compliance.
- **Provides alerting and escalation functionality.** Clear reporting dashboards and Key Performance Indicators (KPIs) help ensure critical changes are reviewed on a timely basis.
- **Supports itself.** KPMG maintains and updates data sources on your behalf.
- **Reduces manual review time.** Save your organization time, effort and money.

KPMG member firms can help you to identify the appropriate level of due diligence required based upon such risk factors as jurisdiction, the nature of the industry, the service provided, importance of the relationship, and create cost-effective, timely and responsive reporting.

## Accurate, strategic sourcing

- 1** Access to more than **40,000 individual** online public data sources
- 2** Index data in more than **88 languages**
- 3** **Russian, Mandarin, Arabic, Portuguese, Spanish** and other emerging market languages
- 4** Draws from the collective knowledge of **KPMG's global Forensic professionals** to help you assess regulatory and jurisdictional risk



# Data & Analytics at KPMG

Data and analytics (D&A), at its core, is a new way of solving problems with insights and innovation. Data sources are exploding, and businesses need to turn data into insights and value. Our D&A strategy focuses on understanding the overall data landscape and getting to the heart of your problems so that our member firm professionals can help solve your most pressing growth, risk and cost concerns.

KPMG has taken a number of steps to develop innovative solutions that help clients unlock the value of their data.

Across sectors and countries, through our Tax, Audit and Advisory services, we can help you gain new insights to remain competitive and relevant. With 4,300 KPMG specialists across the globe focused on delivering D&A capabilities, we believe that KPMG member firms are uniquely placed to solve your biggest business issues and generate real value for you, your company and your shareholders.

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